

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : JIANG et al.
U.S. Serial No. : 10/706,027
Confirmation No.: 6196
Filed : November 12, 2003
Examiner : Fiona Powers
Art Unit : 1626
For : COMPOUNDS FOR INHIBITION OF HIV INFECTION
BY BLOCKING HIV ENTRY

Law Offices of Albert Wai-Kit Chan, PLLC
World Plaza, Suite 604
141-07 20th Avenue
Whitestone, New York 11357

May 18, 2007

Mail Stop Issue Fee
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Madam:

COMMUNICATION TO SUBMIT FEE(S) TRANSMITTAL
FOR THE PAYMENT OF ISSUE FEE AND PUBLICATION FEE

This Communication is being filed in response to February 21, 2007 Notice of Allowance and Fee(s) Due issued by the United States Patent and Trademark Office (USPTO). The deadline to pay the Issue and Publication Fee set forth in the Notice of Allowance and Fee(s) Due is May 21, 2007. Accordingly, the payment of the Issue and Publication Fee is being timely submitted.

A copy of the completed Fee(s) Transmittal for submitting the Issue and Publication Fee is attached herein as **Exhibit A**.

Applicants : JIANG et al.
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Page : 2

The Issue Fee is SEVEN HUNDRED DOLLARS (\$700.00) for a small entity. Applicants hereby give authorization to charge the amount of SEVEN HUNDRED DOLLARS (\$700.00) for the payment of the Issue Fee to Deposit Account No. 50-1891. The Publication Fee is THREE HUNDRED DOLLARS (\$300.00). Applicants hereby give authorization to charge the amount of THREE HUNDRED DOLLARS (\$300.00) for the payment of the Publication Fee to Deposit Account No. 50-1891. Applicants further give authorization to charge the amount of THIRTY DOLLARS (\$30.00) for 10 advance copies of the issued patent to Deposit Account No. 50-1891.

Conclusion

No fee other than the SEVEN HUNDRED DOLLARS (\$700.00), payment for the Issue Fee, THREE HUNDRED DOLLARS (\$300.00), payment for the Publication Fee and THIRTY DOLLARS (\$30.00), payment for the advance copy fee is deemed necessary in connection with the filing of this Communication. However, if any additional fee is required, authorization is hereby given to charge the amount of any such fee to Deposit Account No. 50-1891.

Respectfully Submitted,

Albert Wai-Kit Chan

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EXHIBIT A

PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: **Mail** **Mail Stop ISSUE FEE**
Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450
 or **Ex** **(571)-273-2885**

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address)

Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmittal.

Certificate of Mailing or Transmission
 I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (571) 273-2885, on the date indicated below.

	(Depositor's name)
	(Signature)
	(Date)

1990 02/21/2007
 Albert Wai-Kit Chan
 Law Offices of Albert Wai-Kit Chan, PLLC
 World Plaza, Suite 604
 141-07 20th Avenue
 Whitestone, NY 11357

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10706,027	11/12/2003	Shibo Jiang	#706-A-US	6196

TITLE OF INVENTION: COMPOUNDS FOR INHIBITION OF HIV INFECTION BY BLOCKING HIV ENTRY

APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	YES	\$700	\$300	\$0	\$1000	05/21/2007

EXAMINER	ART UNIT	CLASS-SUBCLASS
POWERS, FIONA	1626	514-427000

- | | | |
|---|--|---|
| <p>1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.353)</p> <p><input type="checkbox"/> Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.</p> <p><input type="checkbox"/> "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.</p> | <p>2. For printing on the patent front page, list</p> <p>(1) the names of up to 3 registered patent attorneys or agents OR, alternatively,</p> <p>(2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.</p> | <p>1 Law Offices of Albert Wai-Kit Chan, PLLC</p> <p>2</p> <p>3</p> |
|---|--|---|

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

(B) RESIDENCE: (CITY AND STATE OR COUNTRY)

New York Blood Center

New York, New York

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☒ Corporation or other private group entity ☐ Government

4a. The following fee(s) are submitted:

- ☒ Issue Fee
☒ Publication Fee (No small entity discount permitted)
☒ Advance Order - # of Copies 10 copies at \$3.00 each

4b. Payment of Fee(s): (Please first reapply any previously paid issue fee shown above)

- ☐ A check is enclosed.
☐ Payment by credit card. Form PTO-2038 is attached.
☒ The Director is hereby authorized to charge the required fee(s), any deficiency, or credit any overpayment, to Deposit Account Number 50-1891 (enclose an extra copy of this form).

5. Change in Entity Status (from status indicated above)

- ☐ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27. ☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

Authorized Signature Albert Wai-Kit Chan

Date May 18, 2007

Typed or printed name Albert Wai-Kit Chan

Registration No. 36,479

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.**

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(Depositor's name)
(Signature)
(Date)

7990 02/21/2007
Albert Wai-Kit Chan
Law Offices of Albert Wai-Kit Chan, PLLC
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EXAMINER	ART UNIT	CLASS-SUBCLASS
POWERS, FIONA	1626	514-427000

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2. For printing on the patent front page, list

(1) the names of up to 3 registered patent attorneys or agents OR, alternatively,

(2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

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2

3

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New York Blood Center

(B) RESIDENCE: (CITY AND STATE OR COUNTRY)

New York, New York

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☒ Corporation or other private group entity ☐ Government

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☐ Payment by credit card. Form PTO-2038 is attached.
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☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

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Authorized Signature Albert Wai-Kit Chan

Date May 18, 2007

Typed or printed name Albert Wai-Kit Chan

Registration No. 36,479

This collection of information is required by 37 CFR 1.31. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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